



At Lenk Ladner Investment Solutions, confidentiality is our top priority. In order to provide investment management services suitable to you and your particular goals, we collect nonpublic personal information about you.

This information is procured from: data gathering forms such as our “Confidential Client Profile”. We handle all the personal information you provide to us with the utmost care and upon account opening we secure your written permission prior to completing investment transactions on your behalf.

Please read below to learn more about our privacy policies and the options available to you.

**Why?** Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

**What?** The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and income
- Account balances and transaction history
- Investment experience and assets

**How?** All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Lenk Ladner Investment Solutions chooses to share; and whether you can limit this sharing.

<b>Reasons we can share your personal information</b>	<b>Does Lenk Ladner Investment Solutions share?</b>	<b>Can you limit this sharing?</b>
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes— to offer our products and services to you	YES	NO
For joint marketing with other financial companies	We don't share	We don't share
For our affiliates' everyday business purposes— information about your transactions and experiences	YES	NO
For our affiliates' everyday business purposes	YES	YES
For our affiliates to market to you	YES	YES
For non-affiliates to market to you	We don't share	We don't share

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How does Lenk Ladner Investment Solutions protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and office. To learn more about security at Lenk Ladner Investment Solutions, please visit [www.lenkladner.com](http://www.lenkladner.com)

How does Lenk Ladner Investment Solutions collect my personal information?

We collect your personal information, for example, when you

- open an account or give us your income information
- seek advice about your investments or tell us about your investment or retirement portfolio
- make deposits or withdrawals from your account

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

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### **Internal Security Procedures**

All employees are instructed to treat personal information, including “personal identifiable financial information”, with discretion and care. We regard trust and integrity as the cornerstones of our business. Your personal information is restricted to employees who need to know the information in order to provide our services. An internal written privacy policy is maintained to help train and guide our employees in the handling of your sensitive, personal information.

### **Digital Safeguards**

To further safeguard client information digitally, we maintain password protected systems, updated anti-virus software, hardware encryption and software firewalls.

### **Your Rights**

Annually we will provide you with a copy of our Privacy Policy Statement. We would like to assure you that even if you close your account or it becomes inactive, we will continue to treat your information with the same care. We encourage you to contact us anytime for information about our policies, procedures, and any information we have collected about you or your account.

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are the cornerstones  
of our business”*